



Attorney Docket No.:3486-024 DIV3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

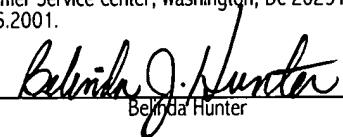
Applicant : HOCHMAN, Mark N
Serial No. : 09/767,027
(this is a divisional of parent application 09/201,464)
Filing Date : January 22, 2001
Title : DRUG DELIVERY SYSTEM WITH PROFILES
Examiner : To Be Assigned
Group Art Unit : 3763

April 6, 2001

Assistant Commissioner for Patents
Attention: OIPE - Customer Service Center
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

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deposited with the United States Postal Service via First
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Assistant Commissioner for Patents, Attn: OIPE -
Customer Service Center, Washington, DC 20231 on
04.06.2001.



Belinda J. Hunter
Belinda Hunter

RESPONSE TO NOTICE TO FILE MISSING PARTS

SIR:

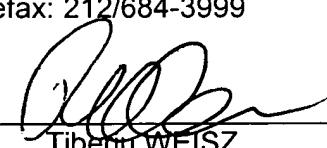
At the time of filing the above-identified patent application on January 22, 2001, the
USPTO overlooked applicants claim of small entity status on the *Utility Patent Application
Transmittal (form PTO/SB/05)* at item 2.

Applicant by his undersigned attorneys hereby claim entitlement to small entity status
under 37 CFR §1.27. Therefore, it is believed that the total outstanding balance of \$427 as
indicated on the *Notice to File Missing Parts* is not now due.

Dated: April 6, 2001
New York, New York

Respectfully submitted,

GOTTLIEB RACKMAN & REISMAN PC
Attorneys for Applicant
270 Madison Avenue
New York, New York 10016-0601
Telephone: 212/684-3900
Telefax: 212/684-3999

By: 

Belinda WEISZ
Reg. No. 29,876

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13 PS
Please type a plus sign (+) inside this box →

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Section 5

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number	09/767.027
Filing Date	January 22, 2001
First Named Inventor	HOCHMAN, Mark N
Group Art Unit	3763
Examiner Name	To Be Assigned
Attorney Docket Number	3486-024 DIV3

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input type="checkbox"/> Return Receipt Postcard;
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Extension of Time Request	Remarks
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Information Disclosure Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	GOTTLIEB RACKMAN & REISMAN PC Tiberiu WEISZ Reg. No. 29,876
Signature	
Date	April 6, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 04.06.2001

Typed or printed name	Belinda HUNTER
Signature	
Date	April 6, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,027	01/22/2001	Mark Hochman	3486-023 DIV2

CONFIRMATION NO. 3318

22440
 GOTTLIEB RACKMAN & REISMAN PC
 270 MADISON AVENUE
 8TH FLOOR
 NEW YORK, NY 100160601

FORMALITIES LETTER



COPY

Date Mailed: 03/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$27.
 - \$27 for 3 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 447.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE